

November 01, 2023

CS&G/STX/DQ2023/25

1) National Stock Exchange of India Limited

Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E),
Mumbai – 400 051

Scrip Symbol: KFINTECH

2) BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001

Scrip Code: 543720

Sub. : Press Release

Ref. : Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”)

Dear Sir / Madam,

Pursuant to Regulation 30 and other applicable provisions of the LODR Regulations, please find enclosed herewith the Press Release titled “**KFintech Launches “Guardian”- an Innovative Trade Reporting and Compliance Management Platform**”.

This is for your information and records.

Thanking you,

Yours faithfully,

For KFin Technologies Limited

Alpana Kundu

Company Secretary and Compliance Officer

ICSI Membership No.: F10191

Encl.: a/a

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,
Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

CIN: L72400TG2017PLC117649

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KFintech Launches “Guardian”- an Innovative Trade Reporting and Compliance Management Platform

Mumbai, November 1st, 2023: KFin Technologies Limited (“KFintech”), a leading provider of global investor and issuer solutions, proudly announces the launch of **Guardian**, an advanced trade reporting and trade compliance management platform. Guardian represents an innovative solution aimed at bolstering defenses against “Trade Reporting Failures” and “Non-Compliant” trading activities while establishing a robust tracking, reporting, and communication channel among all key stakeholders, including employees, relatives, compliance bodies, and regulatory entities.

Guardian's Alert and Reporting Framework is driven by advanced trade identification algorithms that identify and report the trade, and also alert the risk/compliance officers on unauthorized trades, which will help them maintain the highest degree of trade compliance. The logic of Guardian goes beyond the scope of monitoring the employees by also bringing the trades of related parties and entities associated with the organization into the fulfillment of the trade.

Guardian leverages the capabilities of multiple comprehensive data feeds collected based on the consent of the users to seamlessly collect trade data from various sources, offering a holistic overview of an individual's or entity's trading activities without any restriction of association with a specific depository participant.

“We, at KFintech, have always been dedicated to simplifying complex financial challenges and delivering innovative products and services. With a focus on security, efficiency, and user experience, KFintech continues to be a trailblazer in the fintech industry. At the core of Guardian's efficiency lies its proprietary rule engine, empowering users to configure customizable rules for the automatic flagging of non-compliant trades, ensuring unwavering adherence to regulatory standards,” said **Sreekanth Nadella, MD, and CEO of KFintech**.

Guardian offers an all-encompassing solution that tracks individual trades and assists in monitoring overall trading patterns, identifying unusual trends that may warrant closer scrutiny. Designed with user experience as a primary focus, Guardian's intuitive interface simplifies navigation and data interpretation, facilitating informed decision-making and required actions within the platform. Users can stay ahead of potential compliance issues with timely alerts on potentially non-compliant trades, allowing compliance teams and regulatory bodies to take immediate action. Guardian is set to redefine trade reporting and compliance management, offering a robust solution that meets the needs of businesses and regulatory bodies alike.



About KFin Technologies Limited: KFin Technologies Limited (“KFintech”) is a leading technology-driven financial services platform providing comprehensive services and solutions to the capital markets ecosystem, including asset managers and corporate issuers across asset classes in India. KFintech provides several investor solutions, including transaction origination and processing for mutual funds and private retirement schemes to asset managers in

Malaysia, the Philippines, Singapore, Hong Kong, and Canada. In India, KFinTech is the largest investor solutions provider to Indian mutual funds, based on the number of AMCs serviced as of September 30th, 2023, and the largest issuer solutions provider based on the number of clients serviced as of September 30, 2023. KFinTech is the only investor and issuer solutions provider in India that offers services to asset managers such as mutual funds, alternative investment funds, wealth managers, and pension, as well as corporate issuers. It is also one of the three operating central record-keeping agencies for the National Pension System in India. KFinTech is listed on the National Stock Exchange of India Limited and BSE Limited. The promoter of KFinTech is General Atlantic Singapore Fund Pte. Ltd (“GASF”), a leading global private equity investor. For more information, please visit the website: <http://www.kfintech.com>

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