

March 29, 2025

CS&G/STX/MQ2025/41

1) National Stock Exchange of India Limited

 Exchange Plaza, C-1, Block G,
 Bandra Kurla Complex, Bandra (E),
 Mumbai – 400 051

Scrip Symbol: KFINTECH

2) BSE Limited

 Phiroze Jeejeebhoy Towers,
 Dalal Street,
 Mumbai – 400 001

Scrip Code: 543720

Sub. : Disclosure under sub-para 20 of Para A of Part A of Schedule III of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”)

Dear Sir / Madam,

Pursuant to Regulation 30 and other applicable provisions of the LODR Regulations, this is to inform that KFin Technologies Limited (“Company”) has received cautionary email/letter from the National Stock Exchange of India Limited and BSE Limited on March 28, 2025, in relation to the announcement submitted by the Company on August 08, 2024 regarding the intimation of Schedule of Investors/Analysts Meet/call to be held on August 12, 2024 to August 14, 2024 as per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of LODR Regulations.

The details as required under sub-para 20 of Para A of Part A of Schedule III of the LODR Regulations is given below:

Sr. No.	Particulars	Details
1.	Name of the authority	National Stock Exchange of India Limited and BSE Limited
2.	Nature and details of the action(s) taken or order(s) passed	The Company Secretary has received cautionary email/letter on March 28, 2025 from National Stock Exchange of India Limited and BSE Limited in terms of Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of LODR Regulations.
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Email/letter received on March 28, 2025 from National Stock Exchange of India Limited at around 03:50 P.M. and BSE Limited at around 04:25 P.M.
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed	Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of LODR Regulations
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no impact on financial, operation or other activities of the Company on account of above.

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

 Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,
 Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

CIN: L72400TG2017PLC117649
compliance.corp@kfintech.com

The copy of said emails/letter is enclosed as **Annexure A**.

The Management response as noted by the Board on corrective measures taken by the Company is enclosed as **Annexure B**.

This is for your information and records.

Thanking you,

Yours faithfully,

For KFin Technologies Limited

Alpana Kundu

Company Secretary and Compliance Officer

ICSI Membership No.: F10191

Encl.: AA

Alpana Uttam Kundu

From: Query lodr <query.lodr@bseindia.com>
Sent: 28/03/2025 16:25
To: Alpana Uttam Kundu
Subject: Cautionary Letter

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

LIST/COMP/JP/1796/2024-25
March 28, 2025

Date:

To,
Company Secretary /Compliance Officer
Kfin Technologies Ltd (543720)

Sub: Cautionary letter

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on August 08, 2024, regarding the intimation of Schedule of Investors/ Analysts' Meet/ Call to be held on August 12 to 14, 2024

As per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR'), the listed entities are required to disclose the schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of the intimation and the date of the meet/call).

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of two working days in advance as per sub-para 15(a) of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary Letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this Cautionary Letter and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

Yours faithfully,

Listing Compliance Monitoring Team
BSE Limited
P J Towers, Dalal Street,
Mumbai - 400001, India
www.bseindia.com
Tel: 022 2272 8561 / 8475

DISCLAIMER : The contents of this message may be legally privileged and confidential and are for the use of the intended recipient(s) only. It should not be read, copied and used by anyone other than the intended recipient(s). If you have received this message in error, please immediately notify the sender, preserve its confidentiality and delete it. Before opening any attachments please check them for viruses and defects. DISCLAIMER : The contents of this message may be legally privileged and confidential and are for the use of the intended recipient(s) only. It should not be read, copied and used by anyone other than the intended recipient(s). If you have received this message in error, please immediately notify the sender, preserve its confidentiality and delete it. Before opening any attachments please check them for viruses and defects.

Alpana Uttam Kundu

From: neaps@nse.co.in
Sent: 28/03/2025 15:50
To: Alpana Uttam Kundu
Subject: KFINTECH-Cautionary Email

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on August 08, 2024 regarding the intimation of Schedule of Investors/ Analysts Meet/call to be held on August 12 to 14, 2024.

As per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR), the listed entities are required to disclose the schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of the intimation and the date of the meet).

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of two working days in advance as per sub-para 15(a) of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary email on the Stock Exchanges where they are listed.

Additionally, the Company is advised to place before their Board of Directors this Cautionary email and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

This is system generated email, you may reach out to the Announcements Team in case of any assistance at takeover@nse.co.in.

Annexure B

Management response as noted by the Board on corrective measures taken by the Company

The participation of Company in Emkay Confluence, held on August 12, 2024, was contingent on the final schedule. The Company received the final schedule of the conference from Emkay Global Financial Services Limited on August 8, 2024, at 4.38 pm, and subsequently, submitted the intimation to the Stock Exchanges on the same day at 6.00 pm.

The Company believes in following the highest standards of corporate governance and treats all its stakeholders in an equitable manner. The Company fosters to deliver fair, transparent, accurate, and adequate disclosures of all material information to all the stakeholders in an indiscriminatory and timely manner.

Every quarter, after declaration of quarterly results, the management team makes itself available for conversation with shareholders' and broader investors' / analysts' community to provide an update on the business as well as answer respective queries. The management team ensures to submit the intimation of all such meetings and materials being used during such meetings on both the exchanges in advance. Such intimations are also uploaded on the website of the Company under the "Shareholder Relations" section.

In order to ensure compliance in future, the management must decline participation in such events if the schedule of the meeting is not received on time to be filed with the Stock Exchanges, unless there is a valid and unavoidable reason which can also be intimated to the exchange, as required by the LODR Regulations. The Company believes in zero tolerance on non-compliances.