

August 22, 2025

CS&G/STX/SQ2025/24

1) National Stock Exchange of India Limited

 Exchange Plaza, C-1, Block G,
 Bandra Kurla Complex, Bandra (E),
 Mumbai – 400 051
 Scrip Symbol: KFINTTECH

2) BSE Limited

 Phiroze Jeejeebhoy Towers,
 Dalal Street,
 Mumbai – 400 001
 Scrip Code: 543720

Sub. : Disclosure under sub-para 20 of Para A of Part A of Schedule III of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”)

Dear Sir / Madam,

Pursuant to Regulation 30 of the LODR Regulations, this is to inform that the Company has received an acceptance on settlement proposal from Securities and Exchange Board of India (“SEBI”) vide its email dated August 21, 2025, under the provisions of the SEBI (Settlement Proceedings) Regulations, 2018 with respect to the applications filed and the settlement terms proposed by the Company on April 29, 2025.

In this regard, SEBI agreed to accept the terms of the settlement as recommended by the High Powered Advisory Committee (“HPAC”) that the specified proceedings be settled upon payment of Rs. 87,75,000/- (Rupees Eighty-Seven Lakh Seventy-Five Thousand).

Accordingly, the Company has remitted the settlement amount as directed by the HPAC on August 22, 2025.

The Company has already accounted for such spend during the financial year 2024-25 and hence there will be no further financial impact during the financial year 2025-26 or later.

The details as required under sub-para 20 of Para A of Part A of Schedule III of the LODR Regulations is given below:

Sr. No.	Particulars	Details
01	Name of the Authority	Securities and Exchange Board of India (“SEBI”)
02	Nature and details of the action(s) taken or order(s) passed	The Company has received official email communication from SEBI confirming acceptance of the settlement proposal submitted under the SEBI (Settlement Proceedings) Regulations, 2018.
03	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	August 21, 2025
04	Details of the violation(s) / contravention(s) committed or alleged to be committed	SEBI issued a Show Cause Notice to the Company on July 8, 2024, following a five-day inspection conducted in Hyderabad from February 19 to February 23, 2024, focused on reviewing Issuer Solutions business segment records. The proceedings initiated by SEBI

KFin Technologies Limited

Registered Office:

 301, The Centrium, 3rd Floor, 57, Lal Bahadur Shastri Road, Nav Pada,
 Kurla (West), Mumbai – 400070, Maharashtra.

CIN: L72400MH2017PLC444072

		pertained primarily to strengthening of operational processes and included an option for settlement.
05	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	No impact during financial year 2025-26 or later, as adequate provisions have been made in the books of accounts of the Company as on March 31, 2025.

Further, in respect of the captioned matter, I the undersigned, state and declare that the information and details provided in Form A, in compliance with Regulation 30(13) of the LODR Regulations, is true, correct and complete to the best of my knowledge and belief.

Details as per BSE's Circular No. 20230714-34 dated July 14, 2023, and NSE's Circular No. NSE/CML/2023/57 dated July 14, 2023, are as under:

Date of occurrence of event / information	August 22, 2025
Time of occurrence of event / information	At around 01:22 p.m.

This is for your information and records.

Thanking you,

Yours faithfully,

For KFin Technologies Limited

Alpana Kundu
Company Secretary and Compliance Officer
 ICSI Membership No.: F10191
Encl.: a/a

Form A

Disclosure by KFin Technologies Limited regarding receipt of communication from regulatory, statutory, enforcement or judicial authority under the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

[Regulation 30(13) – Disclosure of communication from regulatory, statutory, enforcement or judicial authority]

Sr. No.	Particulars	Details
1.	Name of the listed Company	KFin Technologies Limited (“Company”)
2.	Type of communication received	The Company has received official email communication from SEBI confirming acceptance of the settlement proposal submitted under the SEBI (Settlement Proceedings) Regulations, 2018.
3.	Date of receipt of communication	August 21, 2025
4.	Authority from whom communication received	Securities and Exchange Board of India (“SEBI”)
5.	Brief summary of the material contents of the communication received, including reasons for receipt of the communication	SEBI has accepted the settlement in terms of the SEBI (Settlement Proceedings) Regulations, 2018 in connection with the application filed and the settlement terms proposed <i>vide</i> letter dated April 29, 2025, where the Company has proposed to pay settlement charges of Rs. 87,75,000/- (Rupees Eighty-Seven Lakh Seventy-Five Thousand Rupees), legal charges of Rs. 0/-, disgorgement charges of Rs. 0/-, and comply with the applicable non-monetary terms (if any), in the matter.
6.	Period for which communication would be applicable, if stated	Thirty days from the date of the said letter.
7.	Expected financial implications on the listed Company, if any	No impact during financial year 2025-26 or later, as adequate provisions have been made in the books of accounts of the Company as on March 31, 2025.
8.	Details of any aberrations / non-compliances identified by the authority in the communication	SEBI issued a Show Cause Notice to the Company on July 8, 2024, following a five-day inspection conducted in Hyderabad from February 19 to February 23, 2024, focused on reviewing Issuer Solutions business segment records. The proceedings initiated by SEBI pertained primarily to strengthening of operational processes and included an option for settlement.

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9.	Details of any penalty or restriction or sanction imposed pursuant to the communication	Not applicable
10.	Action(s) taken by listed Company with respect to the communication	The Company has remitted the settlement amount as directed by the HPAC on August 22, 2025.
11.	Any other relevant information	None